



TIAA 1035 EXCHANGE AUTHORIZATION
 FROM AN ALTERNATE INVESTMENT COMPANY TO A
 TIAA AFTER-TAX RETIREMENT ANNUITY

Use this form to authorize the exchange of the contract referenced in Section 2 for a TIAA After-Tax Retirement Annuity. When we have received your completed form, we'll send you a letter of acknowledgement and contact your current investment company for the funds and any other additional information that may be required.

Please print using black or dark blue ink.

IMPORTANT: A full Social Security Number/Taxpayer Identification Number is required to process your request.

1. PROVIDE YOUR INFORMATION

First Name			Middle Initial	
Last Name			Suffix	
Social Security Number/ Taxpayer Identification Number	Contact Telephone Number	Extension		
<input type="text"/>	<input type="text"/>	<input type="text"/>		

NEED HELP? 800-842-2252

Weekdays
8 a.m. - 10 p.m. (ET)

Saturday
9 a.m. - 6 p.m. (ET)

Or visit TIAA.org.

Have your ID and password ready.

IMPORTANT NOTE TO CURRENT INVESTMENT COMPANY:

Our client named above authorizes you, as the current Investment Company or fund provider, to forward any forms that are required to complete this transaction to their attention immediately.

If you are not both contract owner and annuitant of this policy, call us at the number above for more information. You must be both to make an exchange/transfer between the same type of accounts.

2. CURRENT INVESTMENT COMPANY

Name of Investment Company which issued the contract to be exchanged

Street Address or P.O. Box for Funds Transfer

City State Zip Code

Contact Telephone Number Extension

Account Number

Are you the contract/policy owner? Yes No

Are you the annuitant/insured of this policy? Yes No

Please indicate the value to be transferred: Total amount OR \$





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Generally, when a nonqualified annuity from one insurance company is exchanged for a nonqualified annuity with another insurance company, it is treated as an exchange under Section 1035 of the Internal Revenue Code ("1035 Exchange"). Generally, a 1035 Exchange must be reported to the IRS.

3. 1035 EXCHANGE INSTRUCTIONS

What type of funds are you transferring?

- Nonqualified Annuity to Nonqualified Annuity Life Insurance to Nonqualified Annuity

Are you transferring your funds to a new or existing TIAA After-Tax Retirement Annuity account?

- Apply the funds to my new TIAA After-Tax Retirement Annuity. Please complete the After-Tax Retirement Annuity Enrollment form included with this kit. If you did not receive a TIAA After-Tax Retirement Annuity Enrollment form, please call us.

- Apply the funds to my existing TIAA After-Tax Retirement Annuity

Number

Please note: Your funds will be allocated according to the information you provided in the allocation section of the After-Tax Retirement Annuity Enrollment form, or your current allocation on file.





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4. YOUR SIGNATURE

Please note: TIAA After-Tax Retirement Annuity contracts cannot be owned by two people or have two annuitants.

- By signing this Agreement, I authorize the immediate exchange of the contract, referenced in Section 2 above (the "Referenced Contract") for a TIAA After-Tax Retirement Annuity on the same life and having the same owner as the Referenced Contract, for which I have submitted an application to TIAA.
- I hereby assign and transfer all right, title, and interest in the Referenced Contract to the accepting company(s) authorized in Section 3, above (the "Authorized Company(ies)").
- I intend this assignment to be part of an exchange of insurance policies under Internal Revenue Code Section 1035. The undersigned is aware that the Authorized Company(ies) intends to surrender this contract for its cash value (no portion of which shall be received actually or constructively by me) and to issue the new TIAA After-Tax Retirement Annuity in exchange therefore.
- This form is being furnished to me for my convenience and at my request and TIAA assumes no liability or responsibility and makes no representations as to the validity or effectiveness of this exchange under Section 1035 or otherwise.
- I represent and warrant that no person, firm, or corporation has an interest in the captioned contract except the undersigned, and that no proceedings of either a legal or equitable nature are pending which might affect the said contract.
- I understand and agree that in the event the new TIAA After-Tax Retirement Annuity is returned under the "free look" provision, the Authorized Company(ies) shall be discharged of all further liability to me in connection with this transaction. If the exchange of contracts is not completed within a reasonable time, the Authorized Company(ies) will assign the contract back to the owner, unless the Authorized Company(ies) has already submitted the contract for surrender, and in that event, the amount received by the Authorized Company(ies) shall be paid over in full to me when received.
- I represent that a photographic copy of this original assignment shall be as valid as the original.
- I acknowledge that this assignment is not effective unless and until accepted by the Authorized Company(ies) and such acceptance is recorded at the end of this form. All other parties, if any, having an interest in the above-referenced contract must sign (e.g., all irrevocable beneficiaries, etc.).

Under penalties of perjury, I certify that: (1) The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and (2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and (3) I am a U.S. citizen or other U.S. person; and (4) The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Note: There are no FATCA code entries on this form, so please disregard item 4.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Please sign your full legal name with suffix, if applicable, using black or dark blue ink. Digital signatures are not accepted. ▶

Your Signature

Today's Date (mm/dd/yyyy)

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5. MEDALLION SIGNATURE GUARANTEE

Many financial institutions or investment providers require a Medallion Signature Guarantee. If required by your current financial institution or investment provider, please have a member of the medallion signature guarantee program complete this section and have it medallion-stamped. A Medallion Signature Guarantee is not the same as a notarized signature. You must obtain a Medallion Signature Guarantee from a national or state bank, federal savings and loan association, savings bank or member of a national stock exchange who is a member of the medallion signature program. TIAA can provide this service.

I certify the above-named person as described and whose identity is known or has been proven to me.

Authorized Officer to Place Stamp Above

RETURN COMPLETED FORM(S) TO:

STANDARD MAIL:

TIAA
P.O. Box 1259
Charlotte, NC 28201-1259

OVERNIGHT:

TIAA
8500 Andrew Carnegie Blvd.
Charlotte, NC 28262

FOR INTERNAL USE ONLY

NOTIFICATION OF ACCEPTANCE

Please check one:

TIAA CREF

Accepted By

Your Signature

Today's Date (mm/dd/yyyy)

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