



# TIAA BROKERAGE SERVICES COST BASIS ENTRY FOR UNCOVERED SECURITIES

Please use this form as instruction to enter or change cost basis on the following Uncovered Securities. Uncovered Securities are securities purchased or acquired prior to the effective date of mandatory cost basis reporting to the IRS (January 1, 2011 for Equities, January 1, 2012 for Mutual Funds and January 1, 2013 for all other security types). I understand these instructions are for Uncovered Securities and for informational purposes only. Cost basis on Uncovered Securities will not be reported to the IRS. Information in all four columns is required for each security.

Questions? Please call 800-927-3059 Monday - Friday 8 a.m. - 7 p.m. (ET). Fax: 800-914-8922.

## STEP 1: TIAA BROKERAGE ACCOUNT INFORMATION (REQUIRED)

Brokerage Account Number

**A** **5**

Name(s) on Account

## STEP 2: COST BASIS INFORMATION

NOTE: ALL FIELDS MUST BE COMPLETED FOR EACH POSITION. IF LEFT BLANK, THE REQUEST WILL NOT BE PROCESSED.

	Symbol/Description	Purchase Date (mm/dd/yyyy)	Share Quantity	Total Cost: Including fees and commissions
1.	<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/>	\$ <input type="text"/>
2.	<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/>	\$ <input type="text"/>
3.	<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/>	\$ <input type="text"/>
4.	<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/>	\$ <input type="text"/>
5.	<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/>	\$ <input type="text"/>
6.	<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/>	\$ <input type="text"/>
7.	<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/>	\$ <input type="text"/>
8.	<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/>	\$ <input type="text"/>
9.	<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/>	\$ <input type="text"/>
10.	<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/>	\$ <input type="text"/>

If you require additional lines, please attach a separate page, which must be signed and dated by all account owner(s).

Signature

Today's Date (mm/dd/yyyy)

/  /

Signature

Today's Date (mm/dd/yyyy)

/  /





**TIAA BROKERAGE SERVICES**  
COST BASIS ENTRY FOR UNCOVERED SECURITIES

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**RETURN COMPLETED FORM(S) TO:**

**FAX:**

800-914-8922

**STANDARD MAIL:**

TIAA  
P.O. Box 1280  
Charlotte, NC 28201-1280

**OVERNIGHT:**

TIAA  
8500 Andrew Carnegie Blvd.  
Charlotte, NC 28262

**SEND US YOUR FORM ONLINE:**

- Log in to your account at [tiaa.org](http://tiaa.org). On the top most menu, next to "Profile & Settings," select "Messages."
- Within the "Shared Files" tab in "Message Center," select the "Upload Files" button.

**MOBILE UPLOAD:**

- Log in to your TIAA app, and click on "Upload documents" from the menu.
- Follow the instructions to take a picture and upload your completed form.

