



TIAA BROKERAGE SERVICES IRREVOCABLE STOCK OR BOND POWER INSTRUCTIONS

To ensure efficient processing, please provide all information requested on the TIAA Brokerage Services Irrevocable Stock or Bond Power as outlined within the instructions below.

A separate stock/bond power form is required for each security and registration.

Additional documentation may be required if the deposit results in a change in ownership or if there is a question as to ownership.

Example 1 – a notarized Letter of Authorization is required and must be signed by all account owners and individuals listed on the certificate when transferring an individual account into a joint account or when a joint account is transferred into an individual account.

Example 2 – a “Certification of Legal Name” is required when different registrations exist for the same owner, i.e., John H. Doe is also John Henry Doe is also John Doe. In addition, if you have had a legal name change, we require the supporting legal documentation.

Questions? Please call 800-927-3059, Monday - Friday, 8 a.m. - 7 p.m. (ET).

STEP 1: TIAA BROKERAGE ACCOUNT INFORMATION

Brokerage Account Number: This is the brokerage account that you would like the stock certificates deposited into.

Brokerage Account Owner Name(s): This is the title/registration of your TIAA Brokerage account.

Example: John H. Doe, John Doe and Mary Doe, or John H. Doe Living Trust.

STEP 2: STOCK OR BOND INFORMATION

STOCKS:

Number of Shares: Enter in the total number of shares as outlined on the certificate(s).

Type of Shares (Common, Preferred or Other): This information can be found on the stock certificate.

Name of Company: Enter in the name of the company as it appears on the stock certificate.

Certificate Number(s): This information is also located on each certificate.

BONDS:

Number of Bonds: Enter in the total number as outlined on the bond(s).

Name of Company: Enter in the name of the company as it appears on the stock certificate.

Principal Amount: Enter the amount listed on the bond.

Certificate Number(s): This information is also located on each certificate.

STEP 3: AUTHORIZATION AND SIGNATURE

The form must be read, signed and dated by all Individuals that are listed on the Stock/Bond certificate. Signatures(s) must correspond with the name(s) as written upon the face of the certificate(s) or bond(s) in every particular without alteration or enlargement or any change whatever.

Example: John H. Doe should also be signed as John H. Doe.

STEP 4: MEDALLION SIGNATURE GUARANTEE

If the registrations do not match, then the form must be Medallion Signature Guaranteed.

EXAMPLE:

John H. Doe on certificate going into an account for John H. Doe – MSG not required.

John H. Doe and Mary Doe on the certificate going into an account for John H. Doe – MSG required for both John H. Doe and Mary Doe along with a notarized letter of instruction signed by both.

MAILING INSTRUCTIONS:

Please make a copy of your certificate(s) and any completed forms for your records. We recommend that your certificates are sent by certified or registered mail. Mail the original certificate(s), original completed form(s) and any other supporting documentation to:

Pershing LLC
Attn: Securities Processing
1 Pershing Plaza
Jersey City, NJ 07399





Please review and complete this form to make a certificate negotiable when the back of the certificate has not been signed.

Questions? Please call 800-927-3059, Monday - Friday 8 a.m. - 7 p.m. (ET).

STEP 1: TIAA BROKERAGE ACCOUNT INFORMATION (REQUIRED)

Brokerage Account Number:

Input field for Brokerage Account Number with characters A, 5, and empty boxes.

Brokerage Account Owner Name(s):

Input field for Brokerage Account Owner Name(s).



STKPWR

STEP 2: STOCK OR BOND INFORMATION (REQUIRED)

For value received, the undersigned does (do) hereby sell, assign and transfer to Pershing LLC:

STOCKS (FOR STOCKS, COMPLETE THIS SECTION)

_____ shares of _____ stock of _____
(Number of Shares) (Common, Preferred or Other) (Name of Company)

represented by _____ inclusive, standing in the name of the
(Certificate Number(s))

undersigned on the books of said Company.

BONDS (FOR BONDS, COMPLETE THIS SECTION)

_____ bonds of _____ in the principal amount of \$ _____
(Number of Bonds) (Name of Company)

represented by _____ inclusive, standing in the name of the
(Certificate Number(s))

undersigned on the books of said Company.

STEP 3: AUTHORIZATION AND SIGNATURE (REQUIRED)

The form must be read, signed and dated by all individuals that are listed on the Stock/Bond certificate. Signatures(s) must correspond with the name(s) as written upon the face of the certificate(s) or bond(s) in every particular without alteration or enlargement or any change whatever. Example: John H. Doe should also be signed as John H. Doe.

Signature

Input field for Signature

Today's Date (mm/dd/yyyy)

Date input field showing 20

Signature

Input field for Signature

Today's Date (mm/dd/yyyy)

Date input field showing 20

STEP 4: MEDALLION SIGNATURE GUARANTEE

A medallion signature guarantee must be made by an authorized medallion signature guarantor.

Medallion Signature Guarantee Authorized Officer to Place Stamp.

A medallion signature guarantee is only required if the registrations do not match such in the case of endorsing over to a third party.

