

Please use this form to authorize TIAA-CREF Funds to initiate a direct transfer of your current Coverdell Education Savings Account (Coverdell ESA) to a Coverdell ESA with TIAA-CREF Funds.

By signing this form, investor(s) acknowledges that neither TIAA-CREF Funds nor any Teachers Advisors, LLC affiliate or service provider to TIAA-CREF Funds has provided the investor(s) with advice, recommendations or suggestions as to any specific investment decisions. Investors in TIAA-CREF Funds are urged to consult their own advisors before making investment-related decisions, including but not limited to those related to transfer or rollover from retirement plans, purchase or sale of investments, selection or retention of investment managers, or selection of account beneficiaries.

**Send your signed and completed form to TIAA-CREF Funds per the Return Completed Forms section below or in the enclosed customer reply envelope. Please call 800-223-1200, enter prompt 1, then prompt 2, with any questions, weekdays, 8 a.m. – 6 p.m. (ET).**

## 1. ACCOUNT REGISTRATION

In Section A below, please provide the requested information about the person who controls the current Account. In Section B below, provide the requested information about the Student who is the beneficiary of either a new or existing Coverdell ESA from TIAA-CREF Funds.

### A. Person Who Controls the Current Account

Parent  Student  Legal Guardian (If Legal Guardian, submit proof of guardianship)

First Name  MI  Last Name

Address Street or P.O. Box (APO and FPO addresses will be accepted)  City  State  Zip Code

Address (If the above address is a P.O. Box, you must also provide a street address)  City  State  Zip Code

Primary Phone Number  Phone Number Type  Mobile  Home  Business  Secondary Phone Number  Phone Number Type  Mobile  Home  Business

Alternate Phone  Phone Number Type  Mobile  Home  Business  Email Address

Social Security Number

### B. Student Information

First Name  MI  Last Name

Address Street or P.O. Box (APO and FPO addresses will be accepted)  City  State  Zip Code

Address (If the above address is a P.O. Box, you must also provide a street address)  City  State  Zip Code

Daytime Phone Number  Evening Phone Number  Social Security Number



## 2. SHAREHOLDER AUTHORIZATION FOR ASSET TRANSFER

Please provide information on the financial institution where your assets are currently held. This authorization instructs my current Trustee/Custodian to liquidate and transfer all or part of my current Coverdell ESA to TIAA-CREF Funds. Please send all related correspondence to TIAA-CREF Funds, P.O. Box 219227, Kansas City, MO 64121-9227. Make all checks payable to TIAA-CREF Funds.

Transfer should be according to the following instructions: (Write in 100% for whole transfers.)

Current Account Number	Fund Name	Transfer	Percent
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	OR <input type="text"/> <input type="text"/> <input type="text"/> %
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	OR <input type="text"/> <input type="text"/> <input type="text"/> %
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	OR <input type="text"/> <input type="text"/> <input type="text"/> %
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	OR <input type="text"/> <input type="text"/> <input type="text"/> %

Name of Custodian/Trustee

Attention

Address

Address

City

State

Zip Code

Phone Number



### 3. INVESTMENT INSTRUCTIONS

Please indicate whether you are establishing a new **Coverdell ESA** or whether you wish to invest in your current Coverdell ESA from TIAA-CREF Funds. Note: The minimum initial investment is \$2,000 per fund. The minimum subsequent investment per fund is \$100.

Please invest these funds in the existing Coverdell ESA. Account Number:  OR

I am opening a new **Coverdell ESA** (attached is my completed application).

Please indicate the TIAA-CREF Funds and the percentage of transferred assets you wish to allocate to each mutual fund.

Please check here to set this allocation for future investments unless other instructions are received.

#### RETAIL CLASS

FUND NAME (FUND CODE)	AMOUNT	PERCENT	FUND NAME (FUND CODE)	AMOUNT	PERCENT
Bond Index (91)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Lifestyle Conservative (78)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Core Bond (66)*	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Lifestyle Growth (93)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Core Impact Bond (45)*	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Lifestyle Income (77)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Core Plus Bond (96)*	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Lifestyle Moderate (79)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Emerging Markets Debt (2794)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Managed Allocation (99)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Emerging Markets Equity (67)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Mid-Cap Growth (86)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Emerging Markets Equity Index (69)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Mid-Cap Value (87)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Equity Index (65)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Money Market (63)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Green Bond (2608)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Quant International Small-Cap Equity (2966)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Growth & Income (64)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Quant Small-Cap Equity (88)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
High-Yield (95)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Quant Small/Mid-Cap Equity (2956)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Inflation-Linked Bond (90)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Real Estate Securities (89)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
International Bond (2957)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Short Duration Impact Bond (2609)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
International Equity (61)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Short-Term Bond (97)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
International Opportunities (49)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Short-Term Bond Index (2797)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Large-Cap Growth (68)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Social Choice Equity (62)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Large-Cap Value (85)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Social Choice International Equity (2762)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Lifecycle Retirement Income (70)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	Social Choice Low Carbon Equity (2763)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Lifestyle Aggressive Growth (94)	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	<b>Total Amount</b>	\$ <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %

\*The following fund name changes are effective 3/1/20:  
 Formerly: Bond; Renamed Core Bond  
 Formerly: Bond Plus; Renamed Core Plus Bond  
 Formerly: Social Choice Bond; Renamed Core Impact Bond



**4. SIGNATURE**

Please provide the signature of the Student or Parent/Legal Guardian. If the Student is a minor under the law in the Student's state of residence, the Parent or Legal Guardian must execute this Transfer of Assets Authorization.

The undersigned acknowledges having sole responsibility for the foregoing investment choices, and acknowledges having received the current prospectuses for the TIAA-CREF Funds.

The undersigned understands that the requirements for a valid transfer between Coverdell ESAs are complex, and acknowledges having responsibility for complying with all requirements and for the tax results of any such transfer.

**PLEASE SIGN HERE**

Signature Guarantee (only if required by current Custodian or Trustee)

Please Check One:

Student  Parent  Legal Guardian

Your Signature

Today's Date (mm/dd/yyyy)

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Signature Guaranteed by:

**RETURN COMPLETED FORM(S) TO:**

Please return ALL numbered pages, including any pages you did not need to complete.

**STANDARD MAIL:**  
TIAA-CREF Funds  
P.O. Box 219227  
Kansas City, MO 64121-9227

**OVERNIGHT:**  
TIAA-CREF Funds  
430 W. 7th Street, Suite 219227  
Kansas City, MO 64105-1407

**FOR INTERNAL USE ONLY**

**ACCEPTANCE BY NEW CUSTODIAN**

To be completed by UMB Bank, n.a. UMB Bank, n.a. agrees to accept transfer of the amount designated for deposit to the Student's TIAA-CREF Funds Coverdell ESA, and requests the liquidation and transfer of assets as indicated above.

By

Today's Date (mm/dd/yyyy)

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