



TIAA-CREF FUNDS  
IRA 60-DAY ROLLOVER REQUEST  
(RETAIL CLASS ONLY)

You should use this IRA 60-day Rollover Request form to roll over qualified retirement funds received from an alternative financial services provider to TIAA-CREF Funds. Be sure to complete the IRA New Account Form/Adoption Agreement if you are opening a new IRA with TIAA-CREF Funds. Please complete a separate IRA 60-day Rollover Request for each different type of account being rolled over.

By signing this form, investor(s) acknowledges that neither TIAA-CREF Funds nor any Teachers Advisors, LLC affiliate or service provider to TIAA-CREF Funds has provided the investor(s) with advice, recommendations or suggestions as to any specific investment decisions. Investors in TIAA-CREF Funds are urged to consult their own advisors before making investment-related decisions, including but not limited to, those related to transfer or rollover from retirement plans, purchase or sale of investments, selection or retention of investment managers, or selection of account beneficiaries.

**Please send your signed and completed form to TIAA-CREF Funds per the Return Completed Forms section below or in the enclosed customer reply envelope. Please call 800-223-1200, enter prompt 1, then prompt 2, with any questions, weekdays, 8 a.m. – 10 p.m. (ET).**

**1. ACCOUNT REGISTRATION (REQUIRED)**

Please print or type.

**Owner's Name**

Prefix  First Name  MI  Last Name

Social Security Number/

Taxpayer Identification Number

Date of Birth (mm/dd/yyyy)

/  /

Gender

Male  Female

Nonresident Aliens: Enter your Social Security Number/Individual Taxpayer Identification Number.

Address Street or P.O. Box (APO and FPO addresses will be accepted)

City

State

Zip Code

Address (If the above address is a P.O. Box, you must also provide a street address)

City

State

Zip Code

Email Address

Daytime Phone Number

Evening Phone Number

**2. HOW WOULD YOU LIKE TO APPLY YOUR ROLLOVER?**

I am rolling over funds to a new TIAA-CREF Funds IRA:\*

Traditional IRA

Roth IRA

OR

I am rolling over funds to an existing TIAA-CREF Funds IRA:\*

Traditional IRA Account Number

Roth Account Number

\*Please be advised that effective January 1, 2015, you may only complete one 60-day rollover between any of your IRAs in any 365-day period. The IRS rule does not impact direct trustee-to-trustee transfers between IRAs. For more information, please see [irs.gov/Retirement-Plans/IRA-One-Rollover-Per-Year-Rule](http://irs.gov/Retirement-Plans/IRA-One-Rollover-Per-Year-Rule).

If you have questions or need help locating your account numbers, call us at 800-223-1200, enter prompt 1, then prompt 2.



**3. CURRENT CUSTODIAN**

Please confirm the address below with your current custodian. Please attach a copy of your current statement to help expedite this request.

Name of Current Custodian

Account Representative (If applicable)

Contact Telephone Number

Account Number

Address

Address

City

State

Zip Code

Rollover Amount

\$ 

Check Distribution

Date (mm/dd/yyyy)

/ / 20 **SOURCE OF MONEY BEING TRANSFERRED**

Please check the box that corresponds to the source of funds being rolled over.

 401(a) 401(k) 403(b) 403(b)(7) 414(h) 457(b) Public Keogh Roth IRA SIMPLE IRA Traditional IRA Defined Benefit Plan SEP IRA Roth 401(k) Roth 403(b) Roth 457(b) Public

#### 4. INVESTMENT ALLOCATION

Please indicate the funds in which you are investing. Note, if you are opening a new fund with the allocations given below, please check the box for acknowledgment in the Signature section.\*\* If you are investing in an existing TIAA-CREF Funds IRA, please fill in your IRA Fund Account number below. If you would like to invest in multiple existing IRAs, please attach a separate sheet with the additional information. The minimum investment per new fund is \$2,000 and \$100 for existing funds.

Account Number



Please check here to set this allocation for future investments, unless other instructions are received.

#### RETAIL CLASS

FUND NAME (FUND CODE)	DOLLAR AMOUNT	FUND NAME (FUND CODE)	DOLLAR AMOUNT
Bond (66)	\$ <input type="text"/>	Lifestyle Income (77)	\$ <input type="text"/>
Bond Index (91)	\$ <input type="text"/>	Lifestyle Moderate (79)	\$ <input type="text"/>
Bond Plus (96)	\$ <input type="text"/>	Managed Allocation (99)	\$ <input type="text"/>
Emerging Markets Debt (2794)	\$ <input type="text"/>	Mid-Cap Growth (86)	\$ <input type="text"/>
Emerging Markets Equity (67)	\$ <input type="text"/>	Mid-Cap Value (87)	\$ <input type="text"/>
Emerging Markets Equity Index (69)	\$ <input type="text"/>	Money Market (63)	\$ <input type="text"/>
Equity Index (65)	\$ <input type="text"/>	Quant International	\$ <input type="text"/>
Green Bond (2608)	\$ <input type="text"/>	Small-Cap Equity (2966) <sup>1</sup>	\$ <input type="text"/>
Growth & Income (64)	\$ <input type="text"/>	Quant Small-Cap Equity (88) <sup>11</sup>	\$ <input type="text"/>
High-Yield (95)	\$ <input type="text"/>	Quant Small/Mid-Cap Equity (2956) <sup>1</sup>	\$ <input type="text"/>
Inflation-Linked Bond (90)	\$ <input type="text"/>	Real Estate Securities (89)	\$ <input type="text"/>
International Bond (2957)	\$ <input type="text"/>	Short Duration Impact Bond (2609)	\$ <input type="text"/>
International Equity (61)	\$ <input type="text"/>	Short-Term Bond (97)	\$ <input type="text"/>
International Opportunities (49)	\$ <input type="text"/>	Short-Term Bond Index (2797)	\$ <input type="text"/>
Large-Cap Growth (68)	\$ <input type="text"/>	Social Choice Bond (45)	\$ <input type="text"/>
Large-Cap Value (85)	\$ <input type="text"/>	Social Choice Equity (62)	\$ <input type="text"/>
Lifecycle Retirement Income (70)	\$ <input type="text"/>	Social Choice International	\$ <input type="text"/>
Lifestyle Aggressive Growth (94)	\$ <input type="text"/>	Equity (2762)	\$ <input type="text"/>
Lifestyle Conservative (78)	\$ <input type="text"/>	Social Choice Low Carbon Equity (2763)	\$ <input type="text"/>
Lifestyle Growth (93)	\$ <input type="text"/>	5-15 Year Laddered	\$ <input type="text"/>
		Tax-Exempt Bond (98) <sup>1</sup>	\$ <input type="text"/>
		<b>Total Amount</b>	\$ <input type="text"/>

<sup>1</sup>As of October 2, 2017, the TIAA-CREF Small-Cap Equity fund is closed to new investors.

<sup>11</sup>The following fund name changes are effective 8/1/18:

Formerly: International Small-Cap Equity; Renamed: Quant International Small-Cap Equity

Formerly: Small-Cap Equity; Renamed: Quant Small-Cap Equity

Formerly: Small/Mid-Cap Equity; Renamed: Quant Small/Mid-Cap Equity

Formerly: Tax-Exempt Bond; Renamed: 5-15 Year Laddered Tax-Exempt Bond





## 6. SIGNATURE

I hereby authorize TIAA-CREF Funds to complete my 60-day Rollover Request as indicated on this form.

### \*\*PROSPECTUS AND OTHER DOCUMENTS ACKNOWLEDGMENT

Please check the box below acknowledging your receipt of the following documents:

Prospectuses and Product Disclosures for the investment options available to you ([TIAA.org/public/prospectuses](http://TIAA.org/public/prospectuses))

TIAA Privacy Policy ([TIAA.org/public/support/privacy-policy](http://TIAA.org/public/support/privacy-policy))

TIAA Business Continuity Policy ([TIAA.org/public/about-tiaa/business-continuity](http://TIAA.org/public/about-tiaa/business-continuity))

Please check this box ►  
to acknowledge  
electronic receipt of  
prospectuses and other  
required documents

I acknowledge that I consent to receiving and have received the above-referenced documents through TIAA's website. I further acknowledge that I am able to access these documents on the website. I understand that this acknowledgment applies only to this initial account application.

To select this acknowledgment and consent, you must have access to the website noted above. In either case, you must also be able to download, view and print the documents. You will need Adobe Reader to view and print electronic PDF documents. If you don't have Adobe Reader, go to [adobe.com](http://adobe.com) to download a free copy. To request assistance with accessing these documents electronically, please contact us toll-free at **800-842-2273**. You understand and acknowledge that accessing documents electronically may involve additional costs, including but not limited to, subscription access fees from an internet service provider and printing costs.

Paper versions of the above documents can be ordered, both now and in the future, by calling toll-free **877-518-9161** or by going to [TIAA.org](http://TIAA.org). If you are unable to acknowledge that you have received and accessed these documents on the website, please call **877-518-9161** for paper prospectuses at no charge.

**Note:** Unless indicated above, I acknowledge that I have received paper copies of the above-referenced documents.

Under penalties of perjury, I certify that: (1) The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and (2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and (3) I am a U.S. citizen or other U.S. person; and (4) the FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

I have determined that an IRA rollover is the right option for me. I understand that TIAA-CREF Funds does not provide tax or legal advice. Additionally, TIAA-CREF Funds does not provide fiduciary investment advice with respect to the advisability of rolling over funds to an IRA.

If I have completed step 5 above, I declare that the representations made in this document are true and that the IRS has not previously denied a request for a waiver of the 60-day rollover requirement with respect to a rollover of all or part of the distribution to which this contribution relates. I understand that in the event I am audited and the IRS does not grant a waiver for this contribution, I may be subject to income and excise taxes, interest and penalties. If the contribution is made to an IRA, I understand I will be required to report the contribution to the IRS. I also understand that I should retain a copy of this signed certification with my tax records.

### Please Sign Here

Owner's Signature (Exactly as it appears in Section 1)

Today's Date (mm/dd/yyyy)

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### RETURN COMPLETED FORM(S) TO:

Please return ALL numbered pages, including any pages you did not need to complete.

#### STANDARD MAIL:

TIAA-CREF Funds  
P.O. Box 219227  
Kansas City, MO 64121-9227

#### OVERNIGHT:

TIAA-CREF Funds  
430 W. 7th Street, Suite 219227  
Kansas City, MO 64105-1407

